

Type-Checking Heterogeneous Sequences in a Simple Embeddable Type System

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Abstract. Heterogeneously typed sequences are frequently used in dynamically typed programming languages, but can also be used in statically typed languages supporting run-time type reflection. Such sequences often exhibit type patterns such as repetition, alternation, and optionality. The programmer needs a mechanism to declare or query adherence to these regular patterns. The theory of finite automata over finite alphabets was conceived for characterizing patterns in so-called regular languages, but does not exactly meet this challenge, because the set of potential elements of the sequences is infinite. In this article, we present a generalization of regular expressions called rational type expressions as a means of declaring regular patterns in heterogeneous sequences. We present procedures for constructing and manipulating symbolic finite automata, a generalization of classical finite automata, using a portable, simple, embeddable, type system. We provide a solution for a certain class of subtype decidability relations where subtypeness can be ensured by construction. We demonstrate the generality and portability of the system by providing implementations in Common Lisp, Clojure, Scala, and Python.

1 Introduction

This paper studies rational type expressions (RTEs) and the construction from RTE to symbolic finite automata (σ DFA). RTEs can be used to specify patterns in the types of the sequence elements, such as $(int \cdot string \cdot even?)^*$, and σ DFAs are used to efficiently decide the language induced by the RTE. The main challenges in the system are: (1) how to define types and (2) how to construct σ DFA from an RTE. For the first challenge, the system defines types using "a Simple Embeddable Type System" from Newton and Pommellet [28]. For the second challenge, we use Brzozowski style derivative-based construction, and we solve the challenge of overlapping types using Maximal Disjoint Type Decomposition (MDTD). The paper also briefly discusses another construction algorithm Thompson.

Before looking into the theory and implementation, we introduce the rational type expression (RTE) and the deterministic, complete, symbolic, finite automaton (σ DFA) simply with an extended example. Our goal is to declaratively describe a set of sequences (rational language) in a programming language based on regular patterns in the types of the sequence elements, and to efficiently decide membership of these rational languages at run-time.

41 1.1 Motivation

42 Statically typed languages, such as Java or C++, support sequences of fixed
 43 types such as `Array[String]`, e.g., `["ab", "cd", "xyz"]`, or `List[Double]`, e.g.,
 44 `List(1.0, 1.1, 1.2)`. In a language whose type system forms a type lattice [17],
 45 it is possible to declare an `Array[String|Double]` designating a sequence such
 46 as `["ab", 1.1, 1.2, "cd", "xyz"]`, with each element either `String` or `Double`.
 47 More general still, languages such as Python [34] and Common Lisp [1], support
 48 sequences where any element may be an object of any inhabited type whatsoever.

49 It is rare that *heterogeneous sequences* contain values completely random in
 50 type. Rather, in a given program, the element types generally follow an implicit
 51 pattern which is firm in the mind of the programmer and documented in the
 52 comments. The programmer writes code assuming elements to be a certain type,
 53 or writes ad-hoc code to check the contents of the sequences at run-time.

54 Scala [30, 31], a JVM [10] (Java Virtual Machine) based language, allows the
 55 program to manipulate sequences of arbitrary types such as those coming from
 56 JSON [33]. The code normally uses pattern matching to implement `typecase`
 57 logic based on dynamic type meta-data managed by the JVM. Clojure [13, 14],
 58 also a JVM language, likewise supports such sequences of arbitrary type. In Clo-
 59 jure the programmer usually uses a tool called `spec` [21] to implement program
 60 logic to detect type patterns, or resorts to a set of type predicates provided by
 61 the base language such as `int?`, `number?`, `string?`, etc.

62 What is otherwise lacking from many dynamic languages (or statically typed
 63 languages with sufficiently flexible reflection), and which we address in this ar-
 64 ticle, is a mechanism for the programmer to declare the expected type patterns,
 65 allowing the sequences to be efficiently type-checked at run-time, and thereafter
 66 to allow application code the safety of making simplifying assumptions about
 67 the data in question.

68 1.2 Illustrative Examples

69 For example,

$$r_0 = (int \cdot string \cdot even?)* \quad (1)$$

$$r_1 = (int \cdot string^* \cdot even?)* \quad (2)$$

$$r_2 = (int \cdot string \cdot string^* \cdot even?)*. \quad (3)$$

70 Such expressions are called a *rational type expressions* [27]. The syntax should
 71 be intuitive to anyone already familiar with regular expressions. To avoid lim-
 72 iting our application to a single programming language, we define *type* simply
 73 and portably [28]. The RTE, r_0 , represents the set of sequences of arbitrary (fi-
 74 nite) length, in a given programming language, each of which consists of zero
 75 or more occurrences: integer, string, even integer. The second, r_1 , is similar to
 76 r_0 , but the string is allowed to occur 0 or more times. Finally, r_2 , requires that
 77 the string occur at least once. *E.g.*, the sequence (11, "a", 12, 13, "a", "b", 14)

78 matches r_1 and r_2 , but not r_0 ; (11, "a", 12, 13, "a", 14) matches all of them;
 79 and (11.5, 12.6) matches none.

80 A finite automaton is used to efficiently decide membership of a rational lan-
 81 guage. Analogous to classical finite automata theory [15], RTEs correspond to
 82 *symbolic* finite automata [8] (σ DFA) over a possibly infinite alphabet—labeled
 83 by subsets of the alphabet, denoted Σ . In our case, Σ is the set of all values repre-
 84 sentable in a given programming language. RTEs, r_0 and r_2 , are implemented in
 85 Figure 1 [left] and [right] respectively, r_0 being represented by a deterministic au-
 tomaton and r_2 non-deterministic. In general construction of the finite automata

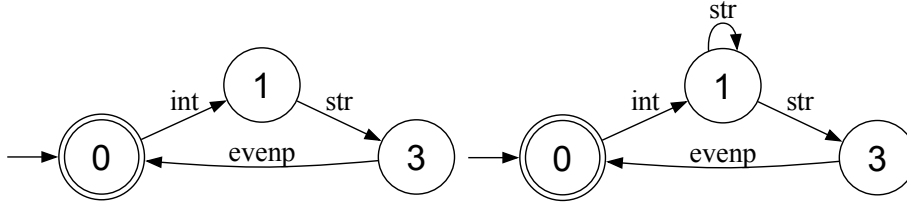


Fig. 1: σ DFA for RTEs: $r_0 = (int \cdot string \cdot even?)^*$ [(1) left] and non-deterministic automaton for $r_2 = (int \cdot string \cdot string^* \cdot even?)^*$ [(3) right]

86 (classical or symbolic) is expensive to compute. This expense can be mitigated
 87 by pre-computing at compile-time, if the programming language allows applica-
 88 tion code to be run at compile-time (such as is the case with Common Lisp [1]),
 89 or at least by memoizing the constructed automata so that they can be re-used.
 90 Once the σ DFA is constructed, the time complexity of the membership decision
 91 is linear in length of sequence in question, constant in memory complexity, and
 92 no longer a function of the representation of the RTE itself. This is important
 93 because there exist arbitrarily large expression trees which *reduce* to the same,
 94 *small* RTE.
 95

96 Since we wish to perform operations such as, negation and intersection, we
 97 chose to work with deterministic automata. Deterministic finite automata (sym-
 98 bolic and otherwise) not only allow us to decide membership in a rational lan-
 99 guage, but also to perform reasoning on the languages themselves: checks such
 100 as disjoint, subset, vacuity/habitation, etc.

101 Much of the work in σ DFA construction focuses on preventing overlap be-
 102 tween transitions, thus enforcing determinism. The fact that the subtype relation
 103 is not always decidable makes it more difficult to guaranteed determinism.

104 Why is the subtype relation important? Knowing the subtype relation is
 105 critical for proving other relations between types. If ν and μ are types, then
 106 we can prove ν and μ equivalent by proving $(\nu \subseteq \mu) \wedge (\mu \subseteq \nu)$. ν is provably
 107 vacuous, if we can prove $\nu \subseteq \emptyset$. ν and μ are provably disjoint, if we can prove
 108 $\nu \cap \mu \subseteq \emptyset$.

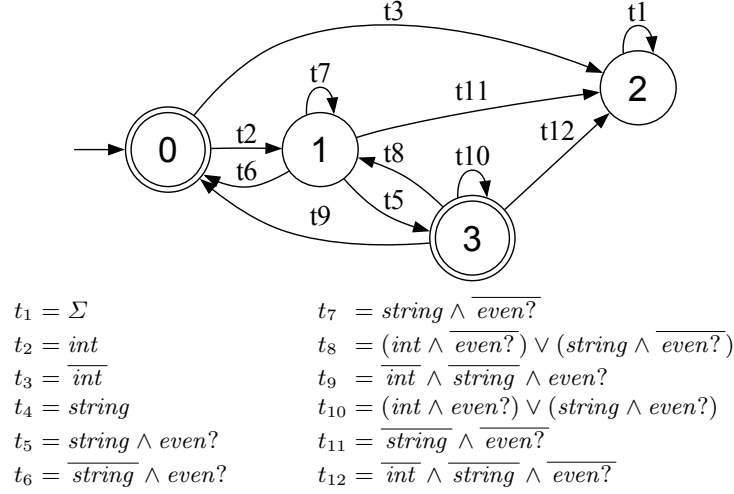


Fig. 2: σ DFA for $r_1 = (\text{int} \cdot \text{string}^* \cdot \text{even?})^* (2)$ deterministic, complete.

Our solution to the subtype problem, as it relates to σ DFA construction, is not to create a subtype predicate which always returns the correct result, as that would be impossible. Rather our solution is pragmatic. SETS (Section 4.1) proposes a type system equipped with a subtype ($\subseteq$) predicate which always returns *true*, *false*, or *dont-know*, but equipped with a membership predicate ($\in$) which always returns *true* or *false*.

As an example of a type which causes difficulty for the subtype decision procedure consider the type *even?*. The type, *even?*, is a so-called *satisfies* type, which is constructed using a predicate. The predicate returns **true**, given an even integer, and **false** otherwise. The system is unable to predict the set of values for which an application-specific predicate returns **true**.

In the σ DFA in Figure 2, the transitions leaving each state are labeled with mutually disjoint types. *E.g.*, state ① has four exiting transitions: t_5 , t_6 , t_7 , and t_{11} which are mutually disjoint and a partition: $t_5 \cup t_6 \cup t_7 \cup t_{11} = \Sigma$.

Undecidable subtype relations, and consequently unsatisfiable transitions, lead to problems in minimization. Whereas classical finite automata are always uniquely minimizable, σ DFAs are not. The system cannot determine that $t_5 = \emptyset$, nor that $t_4 = t_7$. State ③ *could* be eliminated, as well as transitions to and from ③ because its label, t_5 is not satisfiable. However, we cannot determine programmatically that this elimination is possible, without a sufficiently intelligent oracle.

It may occur (albeit not in this example) that certain transitions reference equivalent types; *e.g.*, $t_4 = \text{string}$ and $t_7 = \text{string} \wedge \overline{\text{even?}}$. These equivalent types, may prevent some σ DFAs from being optimally minimized. However, we

are guaranteed by construction that two such transitions never appear leaving the same state, else the automaton would fail to be deterministic.

Minimization on σ DFAs is an open question which requires more research. We will address this topic no further in the current article. We only mention it here because the impossibility of minimization can lead to sub-optimal σ DFA, as well as compile-time and run-time inefficiencies.

Unsatisfiable but indeterminate transitions are common; *e.g.* $t_9 = \overline{int} \wedge \overline{string} \wedge even? = \emptyset$, because $\overline{int}$ and $even?$ are disjoint. Such transitions are inevitable, ultimately because the subtype relation is not decidable in many cases. In this case, the fact that $even? \subset int$ is not known.

One might suppose that the fact that we cannot always determine whether arbitrary types are disjoint will inevitably lead to non-deterministic transitions, but it does not. For σ DFAs, undecidable does not imply non-deterministic. Why? Because independent of whether $\mu \subseteq \nu$, or whether μ and ν are disjoint, it is certain that $\mu \cap \nu$, $\mu \cap \overline{\nu}$, $\overline{\mu} \cap \nu$, and $\overline{\mu} \cap \overline{\nu}$ are mutually disjoint. Non-satisfiable transitions yield *undetectable* useless states, but not non-deterministic σ DFA. These redundant transitions may result in needless computation at compile-time and at run-time, but computations which lead to correct, perhaps sub-optimal results.

1.3 Our Contribution

We present a technique for recognizing certain heterogeneous sequences based on the types of their constituent elements. The technique involves declaratively describing such sequences using so-called RTEs. The RTEs are used to construct symbolic finite automata [8], which are then used to validate and reason about finite sequences whose elements are taken from an infinite set of values supported in the programming language.

Our contributions in this article are as follows. We

1. Adapt the theoretical description of D’Antoni and Veanes [8] to practical programming languages: Python, Scala, and Clojure, providing sample implementations in Common Lisp, Scala, Clojure, and Python. (Section 6)
2. Contrast two σ DFA constructions: Brzozowski and Thompson, summarizing important implementation details, emphasizing algorithmic differences with respect to classical finite automata on finite alphabets.
3. Provide a simple and comprehensible algorithm for the maximal disjoint type decomposition, MDTD, which avoids, by construction, the problem of undecidability of subtyping.

1.4 Previous Work

In [22], Newton used deterministic finite automata (DFA) to recognize certain heterogeneous sequences based on the types of values therein. The work introduced the concept of RTE as a means of declaratively specifying such sequences.

173 [22] addressed only Common Lisp sequences. The current work, generalizes the
174 RTEs to a wider range of programming languages.

175 The `clojure-rte` implementation is reminiscent of the Clojure Spec li-
176 brary [21] and `metosin` [12]. Although Hickey [14, 13] mentions Spec’s existence,
177 we have otherwise found no peer reviewed articles on either. Thus far, conversa-
178 tions between experts on public forums have lead us to contradictory conclusions
179 that Spec is not based on finite automata theory at all, and other claims that it
180 is based on NFA (non-deterministic finite automata) work by Might *et al.* [20].
181 An NFA-based procedure (presumably using backtracking) would have at least
182 polynomial complexity, whereas our approach offers linear complexity.

183 Christophe Grande has authored a regular pattern matching library called
184 `seqexp`. The implementation is in Clojure [14, 13]. We have not found any peer
185 reviewed articles referring to this library and thus refer the reader to the imple-
186 mentation at <https://github.com/cgrand/seqexp>. According to an interview
187 with Grande, the `seqexp` does not use a finite automata approach, suggesting
188 that the size of resulting code would violate the JVM [10] limitation of function
189 size susceptible to optimization.

190 The Brzozowski derivative and an algorithm to compute it was first presented
191 in 1964 by Janusz Brzozowski [7]. While Brzozowski’s result was applied to digi-
192 tal circuits, Scott Owens *et al.* [32] presented a *modern* version applied to regular
193 pattern recognition for sequences of characters. Owens noted that practical ob-
194 stacle to using this approach is the large computation time of generating large
195 finite automata related to excessively large alphabets. Our approach ameliorates
196 this problem by considering sets rather than individual values.

197 D’Antoni and Veanes [8] argue that the generalization retains many of the
198 good properties of their finite-alphabet counterparts. The reader may imagine,
199 many intuitions about finite automata apply to σ DFA, but some do not. In
200 the same work D’Antoni and Veanes discuss a decomposition of types which he
201 refers to as *Minterms(...)* which they describe as *the set of maximal satisfiable*
202 *Boolean combinations*. D’Antoni’s set is a less optimized version of our MDTD
203 algorithm (Section 5.5) which attempts to minimize the number of terms by
204 taking advantage of known subtype and disjoint relations.

205 Grigore [11] addresses subtype decidability in Java [10]: equating it to the
206 halting problem. Kennedy and Pierce [19] identify several type system restric-
207 tions which make the question decidable. They investigate the effect of restricting
208 these capabilities in different ways in Java [10], Scala [30, 31], C#, and .NET
209 Intermediate Language. Curiously, [19] from Microsoft Research gives citations
210 for Java and Scala, but not for C# and .NET Intermediate Language. Perhaps
211 they believe the reader is already intimately familiar with C# and .NET IL.

212 In Scala and in Clojure, the method `isAssignableFrom` (which is provided
213 by the JVM [10]) attempts to compute the subtype relation, returning *true* if the
214 subtype relation can be proven, and *false* otherwise. Thus `isAssignableFrom`
215 conflates *cannot compute* with *computed to be false*. The Clojure language offers
216 an `isa?` predicate based on `isAssignableFrom`.

217 In Common Lisp [1], SUBTYPEP can be compute intensive in general. Even in
 218 cases where the subtype relation is decidable, a Common Lisp implementation
 219 is allowed to return *dont-know* when estimated *too compute intensive*. [2, 36]
 220 Common Lisp is also specified (without explanation) to signal an error if run-time
 221 type membership check is performed on non-trivial function types. Presumably
 222 this is motivated by the fact that SUBTYPEP may sometimes return *dont-know*,
 223 but the type-membership check, `typep`, must return `true` or `false`, which would
 224 be impossible in certain membership checks for functions. *E.g.*, if $f : A \rightarrow B$ and
 225 if $A \rightarrow B \subseteq X \rightarrow Y$ returns *dont-know*, then $f \in X \rightarrow Y$ cannot return `true` or
 226 `false`. SETS (Section 4.1) circumvents this problem by avoiding explicit support
 227 for function types, other than that already supported in the host language.

228 Bonnaire [4] presents *typed Clojure*, without rigorously defining a Clojure
 229 *type*, nor anything as ambitious as Common Lisp's SUBTYPEP.

230 Hosoya, Vouillon and Pierce [16] defined what they called regular expression
 231 types and developed a programming language, XDuce, around them. XDuce al-
 232 lows static XML types to be defined recursively and hierarchically to describe the
 233 structure of the XML document in question. The goal, as they explain, is allow
 234 the programmer to write programs which consume and manipulate XML [5] doc-
 235 uments allowing the type checker to assure that the programmatic expressions
 236 are type correct according to the XML schema, DTD, XML-Schema *etc.*.

237 A notable distinction of the RTE implementation as opposed to the XDuce
 238 language is that our implementation illustrates adding such type checking ability
 239 to an existing type system and suggests that such extensions are feasible in other
 240 existing dynamic or reflective languages.

241 2 Symbolic Finite Automata

242 We consider finite automata as shown in Figure 2, called *symbolic deterministic*
 243 *complete finite automata* (σ DFA). A σ DFA differs from a classical finite automa-
 244 ton in two significant ways. (1) The alphabet, Σ , may be infinite; and (2) Each
 245 transition is labeled by a symbol representing a possibly infinite subset of Σ .

246 **Definition 1 (symbolic finite automaton, transition, origin, target, label).**

247 A symbolic finite automaton is a structure: $A = (\Sigma, Q, q_0, F, T)$ where:

- 248 1. Σ is a possibly infinite set of objects.
- 249 2. Q is a finite set of states.
- 250 3. $q_0 \in Q$ is the initial state.
- 251 4. $F \subseteq Q$ is the set of accepting states.
- 252 5. $T \subseteq Q \times 2^\Sigma \times Q$ is a set of transitions.

253 A transition, $(q, \nu, r) \in T$, may also be denoted $q \xrightarrow{\nu} r$. We refer to q as the
 254 origin of the transition, r as the target, and ν as the label. $\triangle$

255 **Definition 2 (σ DFA, complete, deterministic, partition).**

256 Let $A = (\Sigma, Q, q_0, F, T)$ be a symbolic finite automaton. If for each $q \in Q$, the

257 set labels of transitions exiting q is a partition of Σ , then A is called a complete,
 258 deterministic, symbolic, finite automaton, or simply a σ DFA.
 259 A partition is set of mutually disjoint, possibly empty subsets of Σ covering Σ .

260 A transition $q \xrightarrow{\nu} r$ is called *satisfiable* if ν is inhabited ($\nu \neq \emptyset$). It is called
 261 *non-satisfiable* if ν is empty ($\nu = \emptyset$). It is called *indeterminate* if it cannot
 262 be determined whether $\nu = \emptyset$. The challenge of determining programmatically
 263 whether a transition is satisfiable is addressed in Section 1.4.

264 3 Rational Expressions

265 We accept the following definitions which are taken directly from classical finite
 266 automata theory. A *sequence* of length $n \geq 0$ is a function mapping $\{0, 1, \dots, n -$
 267 $1\} \rightarrow \Sigma$. A *language* is any set of finite-length sequences. The set of all finite-
 268 length sequences is called Σ^* . The symbol $()$ represents the empty sequence
 269 (sequence of zero-length). The language, ε , contains only $()$; $\varepsilon = \{()\}$. Two
 270 sequences, s, t can be concatenated in the intuitive fashion to form a new se-
 271 quence, $s \cdot t$. Similarly, two languages, L_1 and L_2 can be concatenated to form
 272 $L_1 \cdot L_2 = \{s \cdot t \mid s \in L_1, t \in L_2\}$. If $x \in \Sigma$, and s is a sequence of length $n \geq 0$,
 273 then the *cons*, $x :: s = (x) \cdot s$, thus extending the sequence to length $n + 1$.
 274 Finally, we take the normal definition of the Kleene star of a language, L^* , the
 275 set of all possible finite concatenations of zero or more sequences from L .

276 Given a σ DFA, there is a unique subset of Σ^* which is *recognized* by the
 277 σ DFA. This set is called the *language* of the σ DFA. As in the classical finite au-
 278 tomata case, we may define a regular expression (not uniquely) as an expression
 279 tree corresponding to the language of the σ DFA. Given a regular expression,
 280 there are many σ DFAs which recognize the language.

281 **Definition 3 (rational type expression, RTE).** A rational type expression
 282 or RTE is an expression (tree) which represents (generates) a language on Σ .
 283 Let f and g be RTEs, generating languages F and G , and $\nu \subseteq \Sigma$, then the
 284 following recursively defines all RTEs.

<i>RTE</i>	<i>Generated Language</i>	<i>RTE</i>	<i>Generated Language</i>
$\emptyset$	$\emptyset$	$f \cdot g$	$F \cdot G$
ε	$\{()\}$	$f \vee g$	$F \cup G$
		$f \wedge g$	$F \cap G$
ν	$\{(a) \mid a \in \nu\}$	$\neg f$	$\Sigma^* \setminus F$
Σ	$\{(a) \mid a \in \Sigma\}$	f^*	F^*

286 If r is an RTE, we take $\llbracket r \rrbracket$ to denote the language generated by r . $\triangle$

287 4 Programming Language Supporting RTEs

288 Even if finite automata theory is more general, allowing us to reason about ar-
 289 bitrary, free monoids, we will restrict our discussion to objects and sequences

representable in a given programming language. Σ will be the set of values expressible in some programming language, and Σ^* denotes the set of all sequences whose values come from Σ . Suppose further that the programming language supports a set of built-in types and user-defined types, and a set of symbols such as `int` and `string` which allow an application program to perform run-time type membership checks. We call the set of all such symbols $\mathcal{T}_0$, and suppose that the program language provides a mechanism for performing subtype checks between types in terms of the symbols in $\mathcal{T}_0$.

4.1 A Simple Embeddable Type System

Different programming languages make different assumptions about types. Newton and Pommellet [28] presented a *Simple Embeddable Type System* (SETS) which we use here. The principle is that we keep the type system simple enough to implement in a wide range of programming languages, and that types already expressible in the particular language are accepted as atomic types in SETS. A formal definition of SETS can be found in [28], but we summarize it here.

Definition 4 (type). *A type is any set of values, i.e. any subset of Σ .* $\triangle$

We distinguish a *type* from a *type designator*. We typically denote a type designator by the symbol, ν , and the corresponding type by $\llbracket \nu \rrbracket$. The set of all types is 2^Σ , while the set of all type designators is denoted by, $\mathcal{T}$, and is recursively defined as follows.

1. **Hosted types:** $\mathcal{T}_0 \subseteq \mathcal{T}$.
2. **Terminal types:** $\Sigma, \emptyset \in \mathcal{T}$ with $\llbracket \Sigma \rrbracket = \Sigma$, and $\llbracket \emptyset \rrbracket = \emptyset$.
3. **Singleton types:** $\forall a \in \Sigma, \{a\} \in \mathcal{T}$, with $\llbracket \{a\} \rrbracket = \{a\}$.
4. **Predicates:** for any decidable function $f : \Sigma \rightarrow \{\text{true}, \text{false}\}$, there is a symbol $\mathfrak{Sat}(f) \in \mathcal{T}$ with $\llbracket \mathfrak{Sat}(f) \rrbracket = \{x \in \Sigma \mid f(x) = \text{true}\}$.
5. **Union:** if $\nu_1, \nu_2 \in \mathcal{T}$, then $\nu_1 \cup \nu_2 \in \mathcal{T}$ with $\llbracket \nu_1 \cup \nu_2 \rrbracket = \llbracket \nu_1 \rrbracket \cup \llbracket \nu_2 \rrbracket$.
6. **Intersection:** if $\nu_1, \nu_2 \in \mathcal{T}$, then $\nu_1 \cap \nu_2 \in \mathcal{T}$ with $\llbracket \nu_1 \cap \nu_2 \rrbracket = \llbracket \nu_1 \rrbracket \cap \llbracket \nu_2 \rrbracket$.
7. **Complement:** if $\nu \in \mathcal{T}$, then $\overline{\nu} \in \mathcal{T}$ with $\llbracket \overline{\nu} \rrbracket = \{x \in \Sigma \mid x \notin \llbracket \nu \rrbracket\}$.

We distinguish a singleton type, $\{a\}$, from a singleton sequence (a) . Given $a \in \Sigma$, the singleton type $\{a\}$ is the set containing only a , while the singleton sequence (a) is a sequence of length 1 whose first and only element is a . Finally, if $\nu \in \mathcal{T}$, then the RTE ν is the set of all singleton sequences (a) where $a \in \Sigma$.

With a clever choice of f , $\mathfrak{Sat}(f)$ may designate the same set by other composed types in SETS, albeit with less reasoning power. For example, given two predicates f and g it is not possible in general to determine whether $\mathfrak{Sat}(f) \subseteq \mathfrak{Sat}(g)$ or whether $\overline{\mathfrak{Sat}(f)} \cap \overline{\mathfrak{Sat}(g)}$ is inhabited.

A programming language specific API must implement type designators and $\in, \subseteq$ procedures. The membership predicate, $\in$, must be decidable and return always `true`, `false`. An *inhabited* predicate can be implemented as $\nu \subseteq \emptyset$. However, because the subtype relation is sometimes undecidable (or may be

330 excessively compute intensive) $\subseteq$ and consequently, the `inhabited` predicate
 331 must always return, or *dont-know* in a programming language specific way. To
 332 reiterate, we require that the $\subseteq$ predicate in SETS never loop forever—either
 333 it returns `true`, `false` indicating that the subtype relation has been proven or
 334 disproven, or it gives up and returns *dont-know*.

335 5 Construction of σ DFA

336 In this section we describe σ DFA construction. The Brzozowski algorithm creates
 337 a deterministic automaton by construction. Some other construction algorithms,
 338 such as Thompson (Section 5.6), create a non-deterministic automaton which
 339 must thereafter be determinized.

340 5.1 Brzozowski Derivative

341 In this section we present a generalization of the Brzozowski derivative which is
 342 the principal tool needed for such the Brzozowski σ DFA construction.

343 While Brzozowski/Owens [7, 32] defined $\partial_a r$ (the derivative of regular ex-
 344 pression, r , with respect to letter $a \in \Sigma$), we present $\partial_\nu r$ for type $\nu \in \mathcal{T}$.

345 **Definition 5 (derivative, $\partial_\nu r$).** *If $S \subseteq \Sigma^*$, and $\nu \in \mathcal{T}$ is a type designator*
 346 *designating type $\llbracket \nu \rrbracket$, then the derivative of S with respect to ν is defined as:*
 347 $\partial_\nu S = \{s \in \Sigma^* \mid h :: s \in S \text{ for some } h \in \llbracket \nu \rrbracket\}$ $\triangle$

348 Consider the RTE from Equation (2): $r_1 = (int \cdot string^* \cdot even?)^*$, and let S
 349 be the language of r_1 . Each sequence is either $()$ or begins with an *int*.

350 $\partial_{int} S$ is the set generated by

$$\partial_{int} (int \cdot string^* \cdot even?)^* = string^* \cdot even? \cdot (int \cdot string^* \cdot even?)^*$$

351 because if we take the subset of S containing sequences starting with an *int*,
 352 which is $S \setminus \{()\}$, then strip off the head (a *int*) from each sequence. Each of the
 353 remaining tails consists of zero or more *string* followed by *even?*, then followed
 354 by zero or more occurrences of $(int \cdot string^* \cdot even?)$.

355 We wish to compute the derivative of an RTE by recursively applying re-
 356 duction rules (4) through (12). Variables, ν and μ represent type designators,
 357 $\llbracket \nu \rrbracket, \llbracket \mu \rrbracket \subseteq \Sigma$; while r and s represent RTEs. In particular, we introduce sub-
 358 type based rules (10), (11) and (12) which replace equivalence based rules which
 359 Owens [32] succinctly stated.

$$\begin{aligned} \partial_\nu \emptyset &= \partial_\nu \varepsilon = \emptyset & (4) \quad \partial_\nu (rs) &= \begin{cases} (\partial_\nu r)s & \text{if } () \notin \llbracket r \rrbracket \\ (\partial_\nu r)s \vee \partial_\nu s & \text{if } () \in \llbracket r \rrbracket \end{cases} & (9) \\ \partial_\nu (\neg r) &= \neg \partial_\nu r & (5) \end{aligned}$$

$$\partial_\nu (r^*) = \partial_\nu r \cdot r^* \quad (6) \quad \partial_\nu \mu = \varepsilon \quad \text{if } \llbracket \nu \rrbracket \subseteq \llbracket \mu \rrbracket \quad (10)$$

$$\partial_\nu (r \vee s) = \partial_\nu r \vee \partial_\nu s \quad (7) \quad \partial_\nu \mu = \emptyset \quad \text{if } \llbracket \nu \rrbracket \cap \llbracket \mu \rrbracket = \emptyset \quad (11)$$

$$\partial_\nu (r \wedge s) = \partial_\nu r \wedge \partial_\nu s \quad (8) \quad \partial_\nu \mu \quad \text{otherwise, no rule defined} \quad (12)$$

361 To avoid confusion we consider (5) in more detail. Keil and Thiemann [18]
 362 divide the derivative into two operators, a *positive* and a *negative* derivative,
 363 whereas Owens [32] does not. There is a subtle issue pointed out by Keil and
 364 Thiemann [18]: How do we know $\partial_\nu(\neg r) = \neg\partial_\nu r$?

$$\begin{aligned}\partial_\nu r &= \{s \in \Sigma^* \mid \exists h \in \llbracket \nu \rrbracket, h :: s \in \llbracket r \rrbracket\} \\ \partial_\nu(\neg r) &= \{s \in \Sigma^* \mid \exists h \in \llbracket \nu \rrbracket, h :: s \in \llbracket \neg r \rrbracket\} \\ &= \{s \in \Sigma^* \mid \exists h \in \llbracket \nu \rrbracket, h :: s \notin \llbracket r \rrbracket\}\end{aligned}\tag{13}$$

$$\begin{aligned}\neg\partial_\nu r &= \Sigma \setminus \{s \in \Sigma^* \mid \exists h \in \llbracket \nu \rrbracket, h :: s \in \llbracket r \rrbracket\} \\ &= \{s \in \Sigma^* \mid \forall h \in \llbracket \nu \rrbracket, h :: s \notin \llbracket r \rrbracket\}\end{aligned}\tag{14}$$

365 We partition ν into $\{\nu_1, \nu_2\}$ with $\llbracket \nu_1 \rrbracket = \{g \in \nu \mid g :: s \in \llbracket r \rrbracket\}$ and $\llbracket \nu_2 \rrbracket =$
 366 $\{g \in \nu \mid g :: s \notin \llbracket r \rrbracket\}$. If $\llbracket \nu_1 \rrbracket \neq \emptyset$, then it follows from (13) and (14) that
 367 $\neg\partial_\nu r \subseteq \partial_\nu(\neg r)$. However, is it true that $\partial_\nu(\neg r) \subseteq \neg\partial_\nu r$?

368 To answer that question, suppose $s \in \llbracket \partial_\nu(\neg r) \rrbracket$ but $s \notin \llbracket \neg\partial_\nu r \rrbracket$; equivalently
 369 $s \in \llbracket \partial_\nu(\neg r) \rrbracket$ and $s \in \llbracket \partial_\nu r \rrbracket$. This means

$$\begin{aligned}s \in \llbracket \partial_\nu(\neg r) \rrbracket &\implies \exists h_1 \in \nu, h_1 :: s \notin \llbracket r \rrbracket \\ &\implies h_1 \in \llbracket \nu_1 \rrbracket \\ &\implies \llbracket \nu_1 \rrbracket \neq \emptyset \\ s \in \llbracket \partial_\nu r \rrbracket &\implies \exists h_2 \in \nu, h_2 :: s \in \llbracket r \rrbracket \\ &\implies h_2 \in \llbracket \nu_2 \rrbracket \\ &\implies \llbracket \nu_2 \rrbracket \neq \emptyset\end{aligned}$$

370 To assure that $\partial_\nu(\neg r) \subseteq \neg\partial_\nu r$ and thus $\partial_\nu(\neg r) = \neg\partial_\nu r$, it suffices to as-
 371 sure by construction that ν_2 and ν_2 cannot both be inhabited types. *I.e.*, we
 372 must always decompose types mentioned in r into types which are disjoint from
 373 each other, and never partially overlapping types mentioned in r . The MDTD
 374 procedure (Section 5.5) assures this kind of straddling is avoided.

375 5.2 Dealing with Overlapping Types

376 The Brzozowski construction for finite alphabets does not encounter the problem
 377 of overlapping types, because every letter in the alphabet is distinct from all
 378 others. On the contrary, in our case, the *letters* under considerations are types
 379 which correspond to subsets of Σ . Two types might be related by a subtype
 380 relation, or a disjoint relation. We have extended the Brzozowski method to
 381 accommodate intersecting types. Rather than calculating the derivative at each
 382 state with respect to each (possibly intersecting) type mentioned in the RTE,
 383 instead we calculate a disjoint set of types, then compute the derivatives with
 384 respect to this potentially larger set of disjoint types. The exact set of disjoint
 385 types, and the manner to compute it is discussed in Section 5.5.

386 The derivative rules, (4) through (12), are similar to those Owens [32] men-
 387 tions. We have replaced a rule from the treatment from Owens, which was

388 $\partial_a b = \varepsilon$ whenever $a \neq b$ with a generalization. This rule as Owens states, does
 389 not hold when regular expressions are generalized to RTEs. Our generalization
 390 is the introduction of Equations (10), (11), and (12): When computing $\partial_\nu \mu$ we
 391 must consider several cases: $\nu \subseteq \mu$, in which case rule (10) applies and $\partial_\nu \mu$
 392 reduces to ε ; or $\nu \cap \mu = \emptyset$, in which case rule (11) applies and $\partial_\nu \mu$ reduces to $\emptyset$.
 393 If $\nu \not\subseteq \mu$ and $\nu \cap \mu \neq \emptyset$, then we define no rule to compute the derivative. The
 394 algorithm must avoid any such an attempted computation.

395 A caveat of our enhanced algorithm is that we must determine whether $\nu \subseteq \mu$
 396 or whether $\nu \cap \mu = \emptyset$. This poses a challenge: the subtype relation, and thus the
 397 disjoint relation, is sometimes undecidable. One might naively think that if we
 398 deconstruct the types ν and μ (as discussed in Section 1) to $\{\nu \cap \mu, \nu \cap \overline{\mu}, \overline{\nu} \cap$
 399 $\mu, \overline{\nu} \cap \overline{\mu}\}$, then the undecidability problem would be averted. Unfortunately,
 400 there is no guarantee that the subtype procedure in the host language nor the
 401 subtype procedure in SETS (Section 4.1) is sufficiently complete to determine
 402 all subtype relations necessary.

403 We do not attempt to solve the undecidability problem, rather we solve the
 404 problem of computing the derivative in our MDTD algorithm (Section 5.5), which
 405 assures knowledge of subtype and disjointness *by construction*. *I.e.*, every time we
 406 need to compute $\partial_\nu \mu$, we know by construction that either $\nu \subseteq \mu$ or $\nu \cap \mu = \emptyset$;
 407 moreover, we know which of the two holds.

408 Our extension step has two positive effects on the algorithm. 1) it enforces
 409 determinism, *i.e.*, we ensure that all the transitions *leaving* a state specify dis-
 410 joint types, and 2) it forces our treatment of the problem to comply with the
 411 assumptions required by the Brzozowski/Owens algorithm.

412 5.3 Constructing States and Transitions

413 Algorithm 1 specifies the construction of a σ DFA from an RTE. The algorithm
 414 can be summarized as follows. The initial state, q_0 represents the given RTE, r .
 415 Each subsequent state represents some n^{th} derivative, $\partial_\nu^{[n]} r$. Brzozowski [7, 32]
 416 argues that the set of all such derivatives is finite.

417 We wish to compute the σ DFA in Figure 2 corresponding to $r_1 = (int \cdot string^* \cdot$
 418 $even?)^*$. Step 1: start with the initial state $q_0 = \textcircled{0}$ corresponding to r_1 . Step 2:
 419 compute MDTD given the set of types which may be the type of the first element
 420 of a sequence in the language of r_1 . The only such type is int ; MDTD returns the
 421 partition $\Sigma = int \cup \overline{int}$. Step 3: compute $\{q_1, q_2\} = \{\partial_\nu r_1 \mid \nu \in \{int, \overline{int}\}\}$ by
 422 applying rules (6), (9), (10), and (11):

$$q_1 = \partial_{int} r_1 = string^* \cdot even? \cdot (int \cdot string^* \cdot even?)^* \quad (15)$$

$$q_2 = \partial_{\overline{int}} r_1 = \emptyset. \quad (16)$$

423 During the computation of q_1 and q_2 , we encounter the computation of
 424 $\partial_{int} int$ and $\partial_{\overline{int}} int$. As explained in Section 5.2, $\partial_{int} int = \varepsilon$, because $int \subseteq int$,
 425 rule (10); and $\partial_{\overline{int}} int = \emptyset$, because $\overline{int} \subseteq int = \emptyset$, rule (11).

Input: r : an RTE
Output: Components of a σ DFA as in Definition 2.

```

1.1 begin
1.2    $q_0 \leftarrow \text{new State}(r) ; T \leftarrow () ; Q \leftarrow \{q_0\}$ 
1.3    $W \leftarrow \{q_0\}$  // working list states
1.4   while  $W \neq \emptyset$  do
1.5      $q_1 \leftarrow \text{any element from } W$ 
1.6      $W \leftarrow W \setminus \{q_1\}$ 
1.7     for  $\nu \in \text{MDTD}(1^{st}(q_1.\text{expression}))$  do
1.8        $d \leftarrow \partial_\nu(q_1.\text{expression})$  // reduced to canonical form
1.9       if  $d \neq \emptyset$  // if not the empty language
1.10        then
1.11          if  $\exists q_2 \in Q$  such that  $q_2.\text{expression} = d$  then
1.12             $T \leftarrow (q_1, \nu, q_2) :: T$  // transition
1.13          else
1.14             $q_2 \leftarrow \text{new State}(d)$ 
1.15             $T \leftarrow (q_1, \nu, q_2) :: T$ 
1.16             $W \leftarrow q_2 :: W$ 
1.17             $Q \leftarrow q_2 :: Q$ 
1.18    $F \leftarrow \{q \in Q \mid () \in \llbracket q.\text{expression} \rrbracket\}$  // Explained in Owens [32]
1.19   return  $(Q, q_0, F, T)$ 

```

Algorithm 1: Compute DFA by Brzowski derivative

426 Having computed q_1 and q_2 , we create two new states, ① and ②, and add two
427 transitions, one for each derivative: $\textcircled{0} \xrightarrow{\text{int}} \textcircled{1}$ and $\textcircled{0} \xrightarrow{\text{int}} \textcircled{2}$. The two values
428 of ν correspond respectively to the labels of the two transitions.

Type designator	Supertypes	Disjoint types
$\text{string} \wedge \text{even?}$	$\Sigma, \text{string}, \text{even?}$	$\emptyset, \overline{\text{string}}, \text{even?}$
$\overline{\text{string}} \wedge \text{even?}$	$\Sigma, \text{string}, \text{even?}$	$\emptyset, \overline{\text{string}}, \text{even?}$
$\text{string} \wedge \overline{\text{even?}}$	$\Sigma, \text{string}, \text{even?}$	$\emptyset, \text{string}, \overline{\text{even?}}$
$\overline{\text{string}} \wedge \overline{\text{even?}}$	$\Sigma, \text{string}, \text{even?}$	$\emptyset, \text{string}, \overline{\text{even?}}$

Fig. 3: MDTD computation for types: $\{\text{string}, \text{even?}\}$.

429 We now compute the derivatives of q_1 and later q_2 . All of the derivatives of
430 $\partial_\nu q_1$ are $\emptyset$ except those for which ν designates a first element of some sequence
431 in the language of q_1 : i.e. $\nu \in \{\text{string}, \text{even?}\}$. If we use the MDTD function in Al-
432 gorithm 2, we obtain the partition $\Pi = \{\text{string} \wedge \text{even?}, \overline{\text{string}} \wedge \text{even?}, \text{string} \wedge$
433 $\overline{\text{even?}}, \overline{\text{string}} \wedge \overline{\text{even?}}\}$, and the additional information in Figure 3, which pro-
434 vides the subtype and disjoint relations needed to apply reduction rules (10)
435 and (11), when computing $\{\partial_\nu q_1 \mid \nu \in \Pi\}$.

436 When $\nu = t_5 = \text{string} \wedge \text{even?}$, we obtain a new RTE and state, which we
 437 call $q_3 = \textcircled{3}$:
 438 $q_3 = \partial_{t_5} q_1 = \text{string}^* \cdot \text{even?} \cdot (\text{int} \cdot \text{string}^* \cdot \text{even?})^* \vee (\text{int} \cdot \text{string}^* \cdot \text{even?})^*$.
 439 Computing the remaining derivatives of q_1 we find duplicates of derivatives
 440 computed earlier. Thus we can construct the four transitions from state q_1 :
 441 $\textcircled{1} \xrightarrow{t_5} \textcircled{3}$, $\textcircled{1} \xrightarrow{t_6} \textcircled{0}$, $\textcircled{1} \xrightarrow{t_7} \textcircled{1}$, and $\textcircled{1} \xrightarrow{t_{11}} \textcircled{2}$; because $\partial_{t_5} q_1 = q_3$, $\partial_{t_6} q_1 = r_1$,
 442 $\partial_{t_7} q_1 = q_1$, and $\partial_{t_{11}} q_1 = q_2$. In like manner we compute all the derivatives of q_2
 443 and q_3 , but in doing so we find no new RTEs: $\partial_{t_1} q_2 = q_2$, $\partial_{t_8} q_3 = q_1$, $\partial_{t_9} q_3 = r_1$,
 444 $\partial_{t_{10}} q_3 = q_3$, and $\partial_{t_{13}} q_3 = q_2$. These derivatives complete the transitions in
 445 Figure 2: $\textcircled{2} \xrightarrow{t_1} \textcircled{2}$, $\textcircled{3} \xrightarrow{t_8} \textcircled{1}$, $\textcircled{3} \xrightarrow{t_9} \textcircled{0}$, $\textcircled{3} \xrightarrow{t_{10}} \textcircled{3}$, and $\textcircled{3} \xrightarrow{t_{13}} \textcircled{2}$.
 446 Finally, we decide which states are *accepting*. A state associated with RTE
 447 r is accepting if $() \in \llbracket r \rrbracket$. Thus the accepting states are q_0 and q_3 ; $() \in \llbracket r_1 \rrbracket$,
 448 $() \notin \llbracket q_1 \rrbracket$, $() \notin \llbracket q_2 \rrbracket$, and $() \in \llbracket q_3 \rrbracket$. Owens [32], provides a simple algorithm for
 449 deciding whether $\varepsilon \subseteq \llbracket r \rrbracket$; we omit the algorithm in this article.

450 5.4 Avoiding Redundant Run-time Type Checking

451 It should be noted that cases such as state $\textcircled{1}$ in Figure 2 whose transitions were
 452 computed in Section 5.3 are a common occurrence. The labels of the transitions
 453 exiting state $\textcircled{1}$ have redundant leaf-level type designators. *E.g.*, $t_5 = \text{string} \wedge$
 454 even? , $t_6 = \text{string} \wedge \text{even?}$, $t_7 = \text{string} \wedge \text{even?}$, and $t_{11} = \text{string} \wedge \text{even?}$
 455 all involve both *string* and *even?*. Additionally, some labels such as $t_8 = (\text{int} \wedge$
 456 $\text{even?}) \vee (\text{string} \wedge \text{even?})$ mention the same type name multiple times within
 457 itself. If at run-time these type tests are made in a naive, straightforward way;
 458 *i.e.*, if the system simply subjects a given object in turn to each of the types for
 459 a membership test, then unfortunately the same type tests will occur multiple
 460 times in order to identify the correct state transitions. This test can be done
 461 efficiently, either by using Binary Decision Diagrams [6, 29], or by a simpler
 462 approach using a simple if-then-else tree. Each level of an if-then-else tree test
 463 membership to exactly one atomic type, say ν , and **true** branches to a subtree
 464 which factors out ν by replacing it and all its supertypes with **true**, otherwise
 465 branches to a subtree which factors out ν by replacing it and all its subtypes
 466 with **false**. The tree traversal continues until a leaf-node is encountered; the
 467 leaf-node indicates the correctly selected destination state in the σ DFA.

468 5.5 Maximal Disjoint Type Decomposition (MDTD)

469 In [22] Newton presents and analyzes several algorithms to compute the MDTD
 470 (Maximal Disjoint Type Decomposition). We present here a much cleaner and
 471 more understandable algorithm than previously published. The new version of
 472 the algorithm has two other advantages which we consider a significant contribu-
 473 tion. (1) it is provably correct, and (2) it provides metadata which permits σ DFA
 474 construction even in light of undecidability of subtype or disjoint procedures.

475 Given a set of potentially intersecting types, $\mathcal{M} = \{\mu_1, \mu_2, \dots, \mu_n\}$, Algo-
 476 rithm 2 computes a pair, $(\mathcal{U}, \mathcal{S})$, where $\mathcal{S}$ is meta data described below, and $\mathcal{U}$
 477 a new set of types $\mathcal{U} = \{\nu_1, \nu_2, \dots, \nu_m\}$, which has the following properties.

Input: $\mathcal{M}$: a set of type designators
Output: $(\mathcal{U}, \mathcal{S})$: a pair as described in Section 5.5.

```

2.1 begin
2.2    $\mathcal{S} \leftarrow \{(\top, \{\top\}, \{\perp\})\}$  // working list of triples
2.3   for  $\mu \in \mathcal{M}$  do
2.4     for  $(\nu, f, d) \in \mathcal{S}$  do
2.5        $\mathcal{S} \leftarrow \mathcal{S} \setminus \{(\nu, f, d)\}$  // remove triple from  $\mathcal{S}$ 
2.6       if  $\mu \cap \nu = \emptyset$  then
2.7          $\mathcal{S} \leftarrow (\nu, f, \mu :: d) :: \mathcal{S}$  //  $\nu$  and  $\mu$  are disjoint
2.8       else if  $\overline{\mu} \cap \nu = \emptyset$  then
2.9          $\mathcal{S} \leftarrow (\nu, \mu :: f, d) :: \mathcal{S}$  //  $\nu \subseteq \mu$ 
2.10      else
2.11         $\mathcal{S} \leftarrow (\mu \cap \nu, \mu :: f, d) :: \mathcal{S}$  //  $\mu \cap \nu \subseteq \mu$ 
2.12         $\mathcal{S} \leftarrow (\overline{\mu} \cap \nu, f, \mu :: d) :: \mathcal{S}$  //  $\overline{\mu} \cap \nu$  and  $\mu$  are disjoint
2.13    $\mathcal{U} \leftarrow$  set of first elements of each 3-tuple in  $\mathcal{S}$ 
2.14   return  $(\mathcal{U}, \mathcal{S})$ 

```

Algorithm 2: Compute MDTD of given $\mathcal{M}$.

- 478 1. $\bigcup_{i \leq m} \nu_i = \Sigma$
- 479 2. $\nu_i \cap \nu_j = \emptyset$ for all $i \neq j$.
- 480 3. For $i \leq m$, and $j \leq n$, either $\nu_i \subseteq \mu_j$ or $\nu_i \cap \mu_j = \emptyset$

481 Algorithm 2 has a limitation that there may be $\nu_i, \nu_j \in \mathcal{U}$ such that $\llbracket \nu_i \rrbracket =$
482 $\llbracket \nu_j \rrbracket = \emptyset$. This limitation is due to the subset relation being sometimes undecid-
483 able; *i.e.* that the **subtype** procedure returns *dont-know*. The **subtype** procedure
484 referred to in Algorithm 2 is the procedure provided by SETS (Section 4.1) which
485 always returns **true**, **false**, or *dont-know*, and does not loop forever.

486 We believe that this undecidability issue means that any alternative MDTD
487 algorithm will have the same problem. For example, consider the most primitive
488 implementation, which simply returns a conjunction of all the possible $2^{|\mathcal{M}|}$
489 min-terms. In such a case, most of the terms would designate the empty type.

490 MDTD has worst-case (time and space) complexity $\Omega(2^{|\mathcal{M}|})$, if line 2.10 is
491 taken every time through the inner loop. Any alternate algorithm must also
492 have worst-case, exponential complexity, because in the worst case, a set of size
493 $2^{|\mathcal{M}|}$ must be computed. We say Ω , because we are ignoring the complexity
494 of the vacuity/disjoint checks, which only worsen the complexity. However, the
495 complexity is quadratic if $\mathcal{M}$ is already a partition of its union.

496 When implementing Algorithm 2, there are several things to take into ac-
497 count. As explained in Section 4.1, given a type, we may ask whether it is
498 inhabited, so tests such as $\mu \cap \nu = \emptyset$ (lines 2.6 and 2.8) may be implemented
499 as $(\mu \cap \nu).inhabited == false$, or as $(\mu.disjoint(\nu) == true)$, or possibly as both
500 as separate cases depending on the robustness of the code. For readability, in
501 Algorithm 2, we use the notation $::$ for sets. If $\mathcal{U}$ is a set then $x :: \mathcal{U} = \{x\} \cup \mathcal{U}$.

502 The return value of Algorithm 2 contains a set, $\mathcal{S}$, of triples, (ν, f, d) : ν is
503 an element of the partition; f is a set of factors, each of which is a guaranteed

504 supertype of ν , even if the `subtype` predicate is not able to detect it; and d is
 505 a set of type designators, each of which is guaranteed disjoint with ν , even if
 506 (especially if) the `disjoint` predicate is not capable of detecting the fact.

507 5.6 Thompson Construction of σ DFA

508 Section 5.3 described the Brzozowski construction of a σ DFA. In this section we
 509 summarize in far less detail, the Thompson [35, 38] construction. The Thompson
 510 construction for σ DFA does not differ significantly from the classical case except
 511 in the necessary determinization step [37].

512 In the determinized σ DFA, the set of outgoing transitions for each state, q ,
 513 partition Σ into a maximal disjoint type decomposition given the labels originat-
 514 ing in the non-deterministic automaton. To compute a state and its transitions
 515 in the determinized σ DFA, the input is taken from two or more states of the
 516 non-deterministic automaton. Given n different input states, which each have m
 517 (or fewer) transitions, we have n potentially different partitions of Σ . A naive
 518 approach to computing the partition in the determinized automaton would be
 519 simply to compute the m^n intersections. All such intersections are indeed guar-
 520 anteed to be disjoint, but after these type designators are simplified and canon-
 521 icalized, it is thereafter difficult (sometimes impossible) to decide which types
 522 are subtypes of which of the given types. This is an algorithmic problem which
 523 can of course be solved, but turns out to be unnecessary, thanks to the MDTD
 524 algorithm (Section 5.5) which we already used in the Brzozowski construction.
 525 Given the set of n partitions of size m , we have $m \times n$ type designators which
 526 can be used as input for MDTD, each time producing a partition, and a mapping
 527 of which computed type is a subtype of which of the original types.

528 6 Sample Implementations

529 As stated earlier, one of the goals of our project is to describe RTE in such
 530 a way so that it is implementable in many different programming languages.
 531 As a proof of concept, we provide open source implements for RTE and its
 532 support libraries in Common Lisp, Scala, Clojure, and Python. The reference
 533 implementation is a Common Lisp library, `rte` [24, 22] which is available from
 534 Quicklisp [3]. The Clojure and Scala implementations, `clojure-rte` [23] and
 535 `cl-robdd-scala` [26], extend the Clojure and Scala type systems, which are
 536 already extensions of the type system of the JVM. The Python implementation,
 537 `python-rte` [25] extends the built-in Python type system.

538 In this article we do not delve into the details of the implementation of either
 539 of these implementations. However, we invite the curious reader to download the
 540 code from the links provided.

541 In each of these four applications, users may specify RTEs based on funda-
 542 mental types in the language, and also user defined classes. The language-level
 543 types are interfaced to RTE via SETS serving as a wrapper recognizable by the
 544 RTE implementation code.

```

1      def isEven(x: Any): Boolean =
2          x match {
3              case y: Int => y % 2 == 0
4              case _ => false
5          }
6      val even = SSatisfies(isEven, "even")
7      val r_0 = Star(Cat(classOf[Int], classOf[String], even))
8      val r_1 = Star(Cat(classOf[Int], Star(classOf[String]), even))
9
10     val dfa_0 = r_0.toDfa()
11     val dfa_1 = r_1.toDfa()
12
13     val v0 = dfa_0.simulate(Seq(1,"hello",2)) // returns Some(true)
14     val v1 = dfa_1.simulate(Seq(1,"hello","world",2,
15                               3,4,
16                               5,"hello",6)) // returns Some(true)
17     val v2 = dfa_1.simulate(Seq(1.1, 1.2, 1.3)) // returns None

```

Fig. 4: Scala code for constructing r_0 and r_1 Equations (1) and (2).

545 The implementations provide Brzowski and Thompson constructions (The
546 Common Lisp implementation is missing the Thompson construction) to convert
547 the RTE (expression tree) into a σ DFA, including APIs for manipulating RTEs,
548 such as inversion, intersection, union, determinization, minimization, extraction
549 (extracting an RTE from a σ DFA [35, sec 2.4.2]), vacuity checks, etc.

550 Figure 4 shows a small example of how RTEs can be used in a Scala program.

551 7 Conclusion and Perspectives

552 In this article we have presented a foundation sufficient for implementing RTEs
553 in various programming languages. We have presented two algorithms (Thomp-
554 son and Brzowski) for computing the construction of σ DFAs for recognizing
555 such. Two challenges for implementing RTE in a host language, is represent-
556 ing and computing with types in the host language, and converting a set of
557 overlapping types to a partition of the value space. We have proposed SETS
558 (Section 4.1) and MDTD (Section 5.5) as solutions to these challenges, along with
559 sample implementations in Common Lisp, Clojure, Scala, and Python.

560 Scala is not generally considered a dynamic language, but it does exhibit some
561 dynamic features: REPL, run-time reflection via its reflection [9] library or even
562 the lower-level Java reflection. The RTE library adds sort of a philosophical flavor
563 of dynamic typing into what is generally viewed as a statically typed language.
564 We say *generally viewed* because, a Scala program is indeed allowed to inquire at
565 run-time about the type of an object and invoke run-time logic as a function of
566 subtype-ness via `isAssignableFrom`. However, it is a matter of ongoing debate
567 whether the RTE implementation in Scala is useful or culturally acceptable given
568 tendency among Scala programmers to avoid run-time type checking.

569 An important strength of our type system is that many questions are an-
570 swered with three-way logic. For types ν and μ , we distinguish $\nu \subseteq \mu$ (ν is
571 proven to be a subtype of μ), $\nu \not\subseteq \mu$ (ν is proven NOT to be a subtype of μ), and
572 **dont-know** (we were unable to prove or disprove $\nu \subseteq \mu$). This three-way logic
573 extends into the question of habitation of rational languages. For example, given
574 an σ DFA it might be that every computation path from q_0 to a final state passes
575 through at least one indeterminate transition—not provably satisfiable and not
576 provably non-satisfiable. In this case we cannot determine whether the language
577 of the σ DFA is inhabited.

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